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1 Title page

- 2 Title: Negative Nasopharyngeal and Oropharyngeal Swab Does Not Rule Out COVID-19
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Coronavirus Disease 19 (COVID-19), has become the Public Health Emergency of
International Concern. The diagnosis is made by the detection of novel virus or genetically similar
coronavirus by molecular assay in clinical specimens¹. Nasopharyngeal and oropharyngeal (NP/OP)
samples are commonly used as a screening tool. Here we reported a case of COVID-19 pneumonia
diagnosed from bronchoalveolar lavage (BAL) fluid who initially had negative tests from NP/OP
swabs.

32 On 21 January 2020, a 28-year-old previously healthy Chinese man presented to Maharaj Nakorn Chiang Mai Hospital, Chiang Mai, Thailand, with a 3-day history of high grade fever and 33 34 malaise. He also complained of rhinorrhea and cough, which had already resolved. He traveled from 35 Jinzhou, China to Chiang Mai on January 15, 2020 by trains and airplanes with a brief transit in 36 Wuhan. After his full itinerary was identified, his case was reported to the local government health 37 agency as a patient under investigation for COVID-19. He was admitted to airborne infection isolation room and NP/OP swabs were obtained. The specimens were sent to two reference laboratories (the 38 39 Thai Red Cross Emerging Infectious Diseases Health Sciences Center, Faculty of Medicine, 40 Chulalongkorn University, and the Department of Medical Sciences, Ministry of Public Health) which tested negative for SARS-CoV-2 on real-time reverse-transcriptase-polymerase-chain-reaction (RT-41 PCR) assay¹. His chest radiography on hospital day 3 did not reveal any infiltration. He continued to 42 43 be febrile without other respiratory symptoms. On hospital day 5 the NP/OP swabs were repeated and 44 were again reported as negative for SARS-CoV-2. On hospital day 7, he developed non-productive cough. The chest radiography revealed bilateral lower lung infiltrations with prominent on the right 45 (Fig 1), which was compatible with viral pneumonia. Bronchoscopy and BAL was performed on 46 47 hospital day 8 and BAL fluid was tested positive for SARS-CoV-2 by RT-PCR. On hospital day 10, his overall clinical condition improved with increasing appetite and afebrile. He was discharged on 48 49 hospital day 18.

Our case highlighted the importance of high clinical suspicion in the epidemiological matched patient
who had negative NP/OP swab. While most of the reported cases established the diagnosis from NP

- 53 contributed to the false negative results, including but not limited to the sampling technique,
- 54 transportation process, or limited gene(s) detection, however it could also be explained by the nature
- 55 of coronavirus itself. This finding was previously observed in previous SARS² and MERS³ outbreaks.
- 56 It is supported by the basic science evidence that the target functional receptor of these viruses is
- 57 angiotensin-converting enzyme 2 (ACE2)^{4,5}. Surface expression of ACE2 was found abundantly on
- 58 both type I and type II alveolar epithelial cells, but minimally on bronchial epithelial cells and
- 59 negative on nasal, oral, and nasopharynx. Based on the case presentation above, we strongly
- 60 recommend the clinicians to continue keeping the suspicion of COVID-19 infection in the
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Figure 1. Chest Radiographs

A posteroanterior radiograph of the chest in the upright position of the patient on hospital day 3 (Panel A) shows no infiltration. A follow-up radiograph on hospital day 7 (Panel B) after the patient developed non-productive cough reveals new bilateral lower lung infiltrations, which are predominantly noticed on right lower lung zone.

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